

## CLAIMS

We claim:

5 1. In a system in which a hardware target computer system, which has a target instruction set architecture (ISA), executes a target instruction sequence corresponding to a source instruction sequence of a source system, which has a source ISA and is running on the target computer system, a method for handling exceptions comprising the following steps:

converting the source instruction sequence into the target instruction sequence by binary translation, each instruction in the source instruction sequence being converted into a corresponding translated target instruction sequence, which may consist of a single target instruction;

10 executing the translated target instruction sequence;

sensing the presence of an exception; and

15 delaying application of the sensed exception until no later than completion of a source instruction corresponding to the translated target instruction sequence being executed at the time of the sensing of the presence of the exception.

2. A method as in claim 1, further comprising the following steps:

determining beginning and ending addresses of each source instruction and each corresponding translated target instruction; and

5 generating a mapping between the beginning and ending addresses of each source instruction and its corresponding translated target instruction sequence.

3. A method as in claim 2, in which each exception may be of either of two types -- synchronous and asynchronous -- a synchronous exception being defined as an exception resulting from attempted execution of a target instruction and an asynchronous exception being defined as an exception resulting from an event  
5 unrelated to the execution of a target instruction.

4. A method as in claim 3, further including step of determining whether each sensed exception is synchronous or asynchronous.

5. A method as in claim 4, in which synchronous exceptions are of either of two types, namely, transparent and non-transparent, a transparent exception being defined as an exception requiring processing action wholly within the target computer system, and a non-transparent exception being defined as an exception requiring processing that alters a visible state of the source system, further including the following steps:

determining whether the sensed synchronous exception is transparent or non-transparent;

handling each transparent synchronous exception externally from the source system, the visible state of the source system thereby remaining unaltered; and

forwarding to the source system for processing each non-transparent synchronous exception.

6. A method as in claim 5, in which the step of forwarding each non-transparent synchronous exception to the source system includes the step of converting the sensed exception into a simulated source exception in a source instruction stream, which is sensed by and interrupts the source system.

7. A method as in claim 4, further including the following step:  
upon sensing the presence of an asynchronous exception during execution of a current one of the translated target instruction sequences, delaying processing of the sensed asynchronous exception until completion of the remaining target instructions in the current translated target instruction sequence.

8. A method as in claim 7, further including the following steps:  
determining a source instruction pointer as a predetermined function of the final target instruction pointer;

forwarding and processing the sensed asynchronous exception;  
5 resuming execution at the location in the translation cache that corresponds to a  
current source instruction pointer; and  
asynchronous exceptions thereby being processed only upon completion of  
execution of the translated target instructions corresponding to whole source  
instructions.

9. A method as in claim 8, in which the step of delaying processing of the  
sensed asynchronous exception further includes the step of simulating execution of the  
remaining target instructions.

10. A method as in claim 8, in which the step of delaying processing of the  
sensed asynchronous exception further includes the step of single-stepping the  
execution of the remaining target instructions.

11. A method as in claim 8, in which the step of delaying processing of the  
sensed asynchronous exception further includes the following steps:

temporarily replacing with a trap generation instruction the initial target  
instructions in each of the translated target instruction sequences that correspond to  
target instruction sequences that possibly immediately follow the current target  
instruction sequence;

resuming execution of the current target instruction sequence from the point at  
which the asynchronous exception was sensed;

10 restoring each of the temporarily replaced instructions with their original content  
after completion of the processing of the sensed asynchronous exception; and  
upon reaching the trap generation instruction, forwarding and processing the  
sensed asynchronous exception.

12. A method as in claim 8, in which the step of delaying the processing of the sensed asynchronous exception further includes the following steps:

temporarily replacing with a trap generation instruction each indirect branch instruction, each indirect branch instruction corresponding to a possible last instruction of the current target instruction sequence;

resuming execution of the current target instruction sequence from the point at which the asynchronous exception was processed;

restoring each of the temporarily replaced instructions with their original content; and

simulating the restored indirect branch instruction.

13. A method as in claim 1, in which:

the source system is a virtual machine;

a virtual machine monitor that is operationally installed between the virtual machine and the hardware target computer system, the virtual machine thereby running on the virtual machine monitor;

the steps of converting the source instruction sequence into the target instruction sequence by binary translation, executing the translated target instruction sequence, sensing the presence of an exception, and delaying application of the sensed exception, are carried out by the virtual machine monitor.

14. A method as in claim 1, in which the source ISA is identical to the target ISA.

15. In a system in which a hardware target computer system, which has a target instruction set architecture (ISA), executes a target instruction sequence corresponding to a source instruction sequence of a source system, which has a source ISA and is running on the target computer system, a method for handling exceptions comprising the following steps:

converting the source instruction sequence into the target instruction sequence by binary translation, each instruction in the source instruction sequence being converted into a corresponding translated target instruction sequence, which may consist of a single target instruction;

10       executing the translated target instruction sequence;

          sensing the presence of an exception,

          each exception being of either of two types -- synchronous and asynchronous -- a synchronous exception being defined as an exception resulting from attempted execution of a target instruction and an asynchronous exception is defined  
15       as an exception resulting from an event unrelated to the execution of a target instruction,

          synchronous exceptions being of either of two types, namely, transparent and non-transparent, a transparent exception being defined as an exception requiring processing action wholly within the target computer system, and a non-transparent  
20       exception being defined as an exception requiring processing that alters a visible state of the source system;

          determining whether each sensed exception is synchronous or asynchronous;

          determining whether each sensed synchronous exception is transparent or non-transparent;

25       upon sensing the presence of an asynchronous exception during execution of a current one of the translated target instruction sequences, delaying processing of the sensed asynchronous exception until completion of the remaining target instructions in the current translated target instruction sequence;

          determining beginning and ending addresses of each source instruction and  
30       each corresponding translated target instruction;

          generating a mapping between the beginning and ending addresses of each source instruction and its corresponding translated target instruction sequence;

          handling each transparent synchronous exception externally from the source system, the visible state of the source system thereby remaining unaltered;

35 forwarding to the source system for processing each non-transparent  
synchronous exception;  
determining a source instruction pointer as a predetermined function of the final  
target instruction pointer;  
forwarding and processing each sensed asynchronous exception;  
40 resuming execution at the location in the translation cache that corresponds to a  
current source instruction pointer, asynchronous exceptions thereby being processed  
only upon completion of execution of the translated target instructions corresponding to  
whole source instructions;  
delaying application of the sensed exception until no later than completion of a  
45 source instruction corresponding to the translated target instruction sequence being  
executed at the time of the sensing of the presence of the exception;  
in which:  
the source system is a virtual machine;  
a virtual machine monitor that is operationally installed between the virtual  
50 machine and the hardware target computer system, the virtual machine thereby running  
on the virtual machine monitor; and  
the steps of converting the source instruction sequence into the target  
instruction sequence by binary translation, executing the translated target instruction  
sequence, sensing the presence of an exception, and delaying application of the  
55 sensed exception, are carried out by the virtual machine monitor.

16. A system for virtualizing a computer system using binary translation  
comprising:

a hardware target computer system that has a target instruction set architecture  
(ISA) and executes a target instruction sequence;

5 a source system that has a source ISA and a source instruction sequence;

binary translation means for converting the source instruction sequence into the  
target instruction sequence by binary translation, each instruction in the source

instruction sequence being converted into a corresponding translated target instruction sequence, which may consist of a single target instruction; and

10       exception handling means for sensing the presence of an exception and for delaying application of the sensed exception until no later than completion of a source instruction corresponding to the translated target instruction sequence being executed by the target computer system at the time of the sensing of the presence of the exception.

17.     A system as in claim 16, further including mapping means for generating a mapping between beginning and ending addresses of each source instruction and its corresponding translated target instruction sequence.

18.     A system as in claim 17, in which:  
each exception may be of either of two types -- synchronous and asynchronous -- a synchronous exception being defined as an exception resulting from attempted execution of a target instruction and an asynchronous exception being defined as an exception resulting from an event unrelated to the execution of a target instruction; and  
the exception handling means is further provided for determining whether each sensed exception is synchronous or asynchronous.

19.     A system as in claim 18, in which:  
synchronous exceptions are of either of two types, namely, transparent and non-transparent, a transparent exception being defined as an exception requiring processing action wholly within the target computer system, and a non-transparent  
5   exception being defined as an exception requiring processing that alters a visible state of the source system; and

the exception handling means is further provided:  
for determining whether the sensed synchronous exception is transparent or non-transparent;

for handling each transparent synchronous exception externally from the source system, the visible state of the source system thereby remaining unaltered; and  
for forwarding to the source system for processing each non-transparent synchronous exception.

20. A system as in claim 19, in which the exception handling means is further provided for converting the sensed exception into a simulated source exception in a source instruction stream, which is sensed by and interrupts the source system.

21. A system as in claim 19, in which the exception handling means is further provided, upon sensing the presence of an asynchronous exception during execution of a current one of the translated target instruction sequences, for delaying processing of the sensed asynchronous exception until completion of the remaining target instructions in the current translated target instruction sequence.

22. A system as in claim 19, in which the exception handling means is further provided

for determining a source instruction pointer as a predetermined function of the final target instruction pointer;

for forwarding and processing the sensed asynchronous exception; and

for resuming execution at the location in the translation cache that corresponds to a current source instruction pointer, asynchronous exceptions thereby being processed only upon completion of execution of the translated target instructions corresponding to whole source instructions.

23. A system as in claim 16, in which:

in which the source system is a virtual machine;

the system further includes a virtual machine monitor that is operationally installed between the virtual machine and the hardware target computer system, the virtual machine thereby running on the virtual machine monitor; and



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chine monitor.

hod as in claim 16, in wh

- # Introduction